

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15168

In Re Application Of: **Ichiro Fujieda, et al.**

Serial No.  
10/023,082

Filing Date  
December 17, 2001

Examiner  
P.J. Macchiarolo

Group Art Unit  
2875

Title: **LIGHT EMITTING DEVICE AND PRODUCTION METHOD THEREOF**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15168

In Re Application: Ichiro Fujieda, et al.

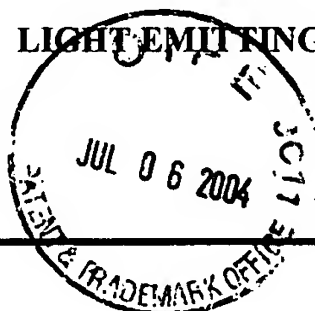
Serial No.  
10/023,082

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Examiner  
P.J. Macchiarolo

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2875

**LIGHT EMITTING DEVICE AND PRODUCTION METHOD THEREOF**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on July 2, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Paul J. Esatto, Jr.

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Signature

Dated: July 2, 2004

Paul J. Esatto, Jr.

Registration No. 30,749

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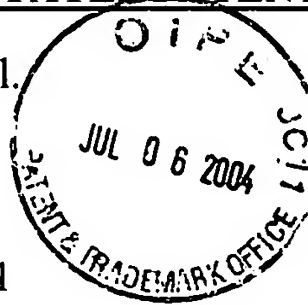
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PJE:nis

cc:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Ichiro Fujieda, et al. **Examiner:** P.J. Macchiarolo  
**Serial No:** 10/023,082 **Art Unit:** 2875  
**Filed:** December 17, 2001 **Docket:** 15168  
**For:** LIGHT EMITTING DEVICE AND **Dated:** July 2, 2004  
PRODUCTION METHOD THEREOF



**Confirmation No.: 7772**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 2213-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.R.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

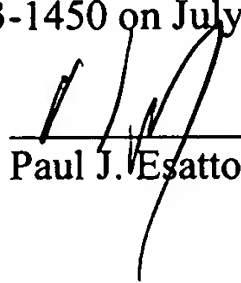
1. Japanese Patent Application Laid-Open No. 2000-221907, dated August 11, 2000; and
2. Japanese Patent Application Laid-Open No. 2000-268978, dated September 29, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on July 2, 2004.

Dated: July 2, 2004

  
Paul J. Esatto, Jr.


The references were cited in an Official Action dated April 2, 2004, received from the Chinese Patent Office. Applicants are submitting copies of the above references, together with a translation of the Examiner's comments regarding all the references from the Official Action. Please note that the other two references cited in the Office Action, namely, Japanese Patent Application Laid-Open No. HEI 5-21158, dated January 29, 1993 and Japanese Patent Application Laid-Open No. P2000-77181A, dated March 14, 2000, were previously submitted in applicant's Information Disclosure Statement dated December 17, 2001. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the official action in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the official action submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this  
Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'PJE', is written over the typed name.

Paul J. Esatto, Jr.

Registration No.: 30,749

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